

COPY OF PAPERS
ORIGINALLY FILED



HANDAL & MOROFSKY
Counsellors at Law
80 Washington Street
Norwalk, Connecticut 06854

Paul Morofsky 1926-1986

District of Columbia Office
American Center - Tysons Corner
Suite 500
8300 Boone Boulevard
Vienna, Virginia 22182

Telephone: 203-838-8589
Facsimile: 203-838-8794
Telex: 429283 PATSLAW

May 22, 2002

BOX REISSUE

Assistant Commissioner of Patents
Washington, D.C. 20231

Re: Warren S. SLUTTER
U.S. Patent Application No. 09/998,002 Filed: November 30, 2001
Attorney Docket No. SLUTTER-RE Confirmation No. 7237
(Reissue of U.S. Patent No. 5,995,221 Dated: November 30, 1999)
"MODIFIED CONCENTRIC SPECTROGRAPH"

SIR:

We enclose herewith:

- ☒ Second Preliminary Amendment
- ☒ Fee Transmittal Form
- ☒ Acknowledgment Postcard

The Commissioner is hereby authorized to charge payment of the fees associated with future communications or credit any overpayment to Deposit Account No. 08-0570.

Applicant hereby petitions under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

Respectfully submitted,

Anthony H. Handal
Reg. No. 26,275
Roger Pitt
Reg. No. 46,996

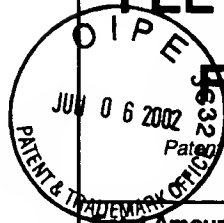
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope, postage prepaid, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on May 22, 2002

Anthony H. Handal / Roger Pitt -
Reg. No. 26,275 / Reg. No. 46,996

RECEIVED
JUN 14 2002
TECHNOLOGY CENTER 2800

GP 2876

BEST AVAILABLE COPY

**FEE TRANSMITTAL****FOR FY 2001**

Patent fees are subject to annual revision.

Total Amount of Payment

(\$)720.00

Complete if Known

Application Number	09/998,002
Filing Date	November 30, 2001
First Named Inventor	Warren S. SLUTTER
Examiner Name	Unknown
Group / Art Unit	2876
Attorney Docket No.	SLUTTER-RE

COPY OF PAPERS
ORIGINALLY FILED**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **08-0570** Deposit Account Name: **Handal & Morofsky**
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 or any other rule for this and any future communications on this application.
2. ☒ **Payment Enclosed:** ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE:**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
101	740	201	370	Utility filing fee	\$
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					

2. EXTRA CLAIM FEES:

		Extra Claims	Fee from below	Fee Paid
Total Claims	[46] -20**	= [26]	x [\$18.00]	= [\$468.00]
Independent Claims	[6] -3**	= [3]	x [\$84.00]	= [\$252.00]
Multiple Dependent		= []	x []	= []

** or number previously paid, if greater; For Reissues, see below

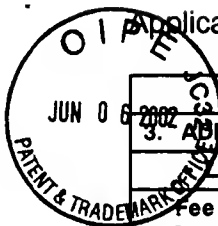
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
103	18	203	9	Claims in excess of 20	\$468.00
102	84	202	42	Independent claims in excess of 3	\$252.00
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)720.00

BEST AVAILABLE COPY

Patent Fee Transmittal For FY 2001 (continued)

Page 2 of 2

Application Number: Unknown Filing Date: Herewith



COPY OF PAPERS
ORIGINALLY FILED

COPY OF PAPERS
ORIGINALLY FILED

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)
SUBMITTED BY				Complete (if applicable):	
Typed or Printed Name	Anthony H. Handal/ Roger Pitt			Reg. Number	26,275/46,996
Signature				Date	May 22, 2002
				Deposit Acct User ID	080570

BEST AVAILABLE COPY